

ACBH Specialty Mental Health Services (SMHS) Medi-Cal Lockout Grid

Lockout Situations: A “lockout” means that a service activity is not reimbursable through Medi-Cal because: the beneficiary resides in and/or receives mental health services in one of the settings listed below OR regulation provides a maximum allowable claimable time for a SMHS (A staff may provide services within their scope of practice, but it would not be reimbursable).
 Lockout rules also apply to specific procedure codes. Please refer to the [Short-Doyle Medi-Cal Billing Manuals](#) for specific information.

NOTE: GREEN=ALLOWED RED=LOCKED-OUT

Find Type of Service You Want to Provide: Then Look at Service Site or Claimable Time for SMHS to Find Restrictions (if any):	Are MH services (including IHBS , TFC , TBS , and STRTP) locked-out?	Are Medication services locked-out?	Are Targeted Case Management (TCM) services (including Brokerage & ICC) locked-out?
Crisis Residential Treatment: Woodroe Place, Jay Mahler Recovery Center, Amber House	MH services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i>	Med. services allowed	TCM allowed
Crisis Stabilization: Sausal Creek, Willow Rock CSU, John George CSU	MH services allowed <i>except not allowed during same time period of CSU ^(2a)</i>	Med. services allowed <i>except not allowed during same time period of CSU ⁽²⁾</i>	TCM allowed
Day Rehab (DR) & Day Treatment Intensive (DTI) Programs	MH services allowed <i>except not allowed during same time period of DR/DTI program ^(2b)</i> <i>TBS allowed during same time period ⁽⁷⁾</i>	Med. services allowed <i>except not allowed during same time period of DR/DTI program ⁽²⁾</i>	TCM allowed <i>except not allowed during same time period of DR/DTI program ⁽²⁾</i>
Juvenile Hall, Jail, or Similar Detention (not adjudicated):	MH services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i> <i>AND allowed if minor adjudicated (release order) awaiting placement ⁽³⁾</i>	Med. services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i> <i>AND allowed if minor adjudicated (release order) awaiting placement ⁽³⁾</i>	TCM Services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i> <i>AND allowed if minor adjudicated (release order) awaiting placement ⁽³⁾</i>
Acute Psychiatric Inpatient Hospital/PHF <17 beds for minors: Willow Rock PHF	MH services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i>	Med. services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i>	TCM services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i> <i>AND allowed 30 days prior to planned d/c for placement purposes ⁽⁴⁾</i>
Non-Free Standing Acute Psychiatric Inpatient Hospitals: John George Psychiatric Hospital (SD/MC Hospital), Alta-Bates Herrick (FFS Hospital)	MH services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i>	Med. services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i>	TCM services locked out <i>except allowed day of admit & d/c ⁽¹⁾</i> <i>AND allowed 30 days prior to planned d/c for placement purposes ⁽⁴⁾</i>
Physical Health Hospitalizations	MH services allowed	Med. services allowed	TCM allowed

ACBH Specialty Mental Health Services (SMHS) Medi-Cal Lockout Grid

All institutions classified by DHCS as IMDs —see additional institutions with same restrictions on the DHCS IMD list . Includes: MHRCs: Villa Fairmont & Gladman MHRC Psychiatric Health Facility (PHFs): Telecare Heritage Acute Psychiatric Hospitals (APs): Fremont Hospital, Sierra Vista Hospital, & John Muir BHC Special Treatment Program / Skilled Nursing Facility (STP/SNF): Morton Baker Center	MH services locked out <i>except allowed day of admit and discharge ⁽¹⁾ OR allowed ONLY if client is less than 21 yrs or older than 64 yrs ⁽⁵⁾</i>	Med. services locked out <i>except allowed day of admit and discharge ⁽¹⁾ OR allowed ONLY if client is less than 21 yrs or older than 64 yrs ⁽⁵⁾</i>	TCM services locked out <i>except allowed day of admit and discharge ⁽¹⁾ OR allowed ONLY if client is less than 21 yrs or older than 64 yrs ⁽⁵⁾</i>
State Hospital	MH services locked out <i>allowed day of admit & d/c ⁽¹⁾</i>	Med. services locked out <i>allowed day of admit & d/c ⁽¹⁾</i>	TCM services locked out <i>allowed day of admit & d/c ⁽¹⁾</i>
<u>Therapeutic Foster Care (TFCs), Short-Term Residential Treatment Programs (STRTPs)</u> , and other residential settings cannot be claimed on the same day except for the day of admission and discharge. ⁽⁶⁾			
Crisis Intervention Services (aka Crisis Psychotherapy): Are not reimbursable on days when Crisis Residential Treatment Services, Psychiatric Health Facility Services, Psychiatric Nursing Facility Services, or Psychiatric Inpatient Hospital Services are reimbursed, except for the day of admission and discharge. 2) The maximum amount claimable for Crisis Intervention Services in a 24-hour period is 8 hours. Per 9 CCR § 1840.366			
Adult Residential Treatment Services (ART): MH services are locked out when a provider of both MH and ART allocates the same staff's time under the two cost centers of Mental Health Services and Adult Residential Treatment Services for the same period of time. Per 9 CCR § 1840.362			
Cumulative maximum across all providers claiming in a 24-hr period: Medication Services max=4 hours (9 CCR § 1840.372); Crisis Stabilization ER & UC max=20 hours (9 CCR § 1840.368); Mental health services (MHS) and IHBS max=2,878 mins (BHIN 13-11); TCM and ICC max=1,440 mins (BHIN 13-11)			
Exceptions with Citations: (1) Per 9 CCR §§ 1840.364(a); 1840.215(c); 1840.370(h) : Except on the day of admission & discharge. (2a) Per 9 CCR § 1840.368(b) : No other SMHS is reimbursable during the same time period this service is reimbursed (Allowed outside the hours of operation). (2b) Per 9 CCR § 1840.360(b) : SMHS are not reimbursable when provided by DR or DTI staff during the same time period that DR or DTI is provided. (3) Per 22 CCR § 50273 : See regulation for specific information for definition of individuals considered inmates of public institutions. (4) Per 9 CCR § 1840.374 : TCM services are locked out except 30 calendar days immediately prior to the day of discharge, for a maximum of three nonconsecutive periods of 30 calendar days or less per continuous stay in the facility solely for the purpose of coordinating placement. (5) Per 9 CCR § 1840.312(g-h); DHCS BHIN 15-008 : Beneficiaries between the ages of 21 and 64 are not eligible for SMHS while residing in an IMD. Exception 1) If a beneficiary had received SMHS prior to age 21, they may receive SMHS until age 22 or 2) The beneficiary may receive psychiatric nursing facility services when utilizing the bed hold option (9 CCR § 1840.215(b)). For specific requirements about IMD facilities and exclusion requirements please see regulations and DHCS IMD List: https://www.dhcs.ca.gov/services/MH/Pages/MedCCC-IMD_List.aspx (6) Per Medi-Cal Manual Third Edition : TFC is not reimbursable while the child or youth is in an STRTP or other residential setting, except for the day of admission or discharge. (7) Per TBS Documentation Manual, Version 2.0 : TBS assessments and services can be provided in conjunction with an intensive program, such as Day Treatment or Residential Treatment; however, medical necessity for TBS must be documented and should reflect that TBS is not being provided solely for the convenience of the Day Treatment or Residential Treatment program staff, that TBS does not supplant existing program staff requirements, and that the staff providing TBS are not staff counted in the other program's staffing ratios. *NOTE: Although TBS can be provided in a Day Treatment setting, TBS services can only be claimed for the actual amount of time that the TBS coach spends actively implementing the TBS Plan. Additionally, the child/youth must be present in the day treatment program for at least 50 percent of the time for the provider to claim the day treatment day or half-day. If the child's behavior requires the TBS coach to intervene to the extent that the child is unable to participate in the Day Treatment Program for a minimum of 50 percent of the day, Day Treatment hours cannot be claimed.			

Revision Author:
 Leticia Chae, LMFT
 Brion Phipps, LCSW

Reason for Revision: MH services to include TFC, TBS and STRTP; Added common IMD sites; Updated lockout restrictions for TFCs, STRTPs, Crisis Intervention Services, ART; Included summary on cumulative maximum for claiming in a 24-hr period; Updated recent DHCS BHIN 15-008 with exceptions. Added Medi-Cal Manual and TBS Documentation Manual.

Date of Revision:
 7/1/2023